



SHANGHAI FUTURES EXCHANGE



# STRATEGIC PLAN OF SHANGHAI FUTURES EXCHANGE (2018-2022)

中英文版·CHINESE AND ENGLISH VERSION



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1. improved its organizational structure and management efficiency, and continued to implement its global development strategy;
2. introduced innovative trading modes and more diversified product offerings, and boosted the global impact of its market prices;
3. introduced innovative delivery modes, strengthened the management of the delivery process, and fine-tuned the locations of domestic delivery warehouses;
4. consolidated transaction data, efficiently supplied data to market regulators, and offered more information services;
5. enhanced its operating and trading rules, organized innovative investor education programs, and improved the structure of investors;
6. enhanced risk prevention, strengthened supervisory capabilities, and ensured adequate protection of investors;
7. upgraded the trading system, strengthened systems operation and maintenance, improved the service capacity of its infrastructures;
8. developed research platform, research management systems, and more diversified areas of research;
9. improved staff assignment, the performance evaluation system, and personnel management efficiency;
10. improved its financial management system, asset allocation, and risk resilience;
11. expanded the ways to convey corporate culture and improved staff conduct and cohesion; and
12. explored new ways to manage and promote brand, and improved the exchange's reputation home and abroad.



## II. Core Values:

### **Stability, cooperation, excellence, and amiability**

**Stability – Robustness and sound development.** Pursuing progress and sound development while ensuring stability is the core development strategy of SHFE. Ensuring sound market operations and preventing systemic risks are not only the top priority of SHFE as a front-line supervisory body, but also essential to sustaining innovation and accelerated progress as SHFE strives to become a world's leading exchange. Robustness means taking proactive actions and seeking rational innovations; it means making prudential decisions, proper arrangements, and solid progress; it drives more stable foundations, steady improvements, and comprehensive, balanced and sustainable development.

**Cooperation – Synergy and coordination.** Building mutually beneficial partnership and seeking harmonious development are the core operating guidelines of SHFE. It is the inevitable path for SHFE to adopt an open, inclusive, and collaborative mindset; seek common ground with domestic and overseas stakeholders alike while respecting differences; and expand and intensify collaborative efforts to build synergy to provide long-term, robust support to the real economy. Collaboration means sustainable, mutually beneficial, and joint development; it signifies mutual respect, collective wisdom, and concerted efforts; it demonstrates a shared desire for seeking common goals and an open, inclusive, and harmonious environment.

















